

VIAC – Questionnaire for Arbitrators

1. **Name:** Dr. Karl J. T. Wach

2. **Citizenship:** German

3. **Contact information:**

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4. **Current position:**

Founding Partner, WACH UND MECKES

5. **Education:**

- PhD on futures trading law, University of Munich 1986.
- Admission to the bar (*Rechtsanwalt*) 1983.
- Law studies at the Ludwig-Maximilians-University of Munich, Germany.

6. **Practiced experience in arbitration**

- How many arbitrations have you participated in (domestic/international); under which Rules?
I have participated in approx. 50 arbitrations under DIS, ICC, UNCITRAL and ad hoc rules.
- How often have you acted as Chairman?
5 times
- How often have you acted as Sole Arbitrator?
1 time
- How often have you acted as Co-Arbitrator?
approx. 20 times
- How often have you acted as Counsel?
approx. 23 times
- How often have you acted in a different function (e.g. Administrative Secretary)?
1 time as a Conciliator

7. **Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):**

- *Dr. Karl Wach*, presented **The Munich Rules** in 2020, a set of rules that allows for the participation of third parties in arbitration proceedings and thus closes an important gap in arbitration proceedings that is particularly relevant in recourse scenarios; For more information on The Munich Rules, please visit <https://wachundmeckes.com/de/the-munich-rules>.
- *Wach/Petsch*, Der Sachverständigenbeweis im Schiedsverfahren, in: *Eberl*, Beweis im Schiedsverfahren, 2015.
- *Wach*, Streitverkündung und Schiedsverfahren, in: Festschrift Elsing, 2015.
- *Wach*, Schiedsverfahren bei Vierpersonenverhältnissen (*Arbitration proceedings in four parties constellations*), in: Kölner Schrift zum Wirtschaftsrecht 2.13, 2013.
- *Wach/Meckes*, M&A-Streitigkeiten im Zeichen des Abschwungs (*M&A disputes in the current economic downturn*), in: Deutscher AnwaltSpiegel 01, 7 May 2009.

- *Wissenschaftlicher Gesprächskreis Schiedsrecht München, Taktik im Schiedsverfahren (Tactics in arbitration proceedings), 2008.*
- *Wach/Meckes, Tactics in M&A Arbitration, October 2008.*
- Panel member: Hamburg International Arbitration Days, Third Party Intervention in International Arbitration, March 2023
- Panel member: Alternative Dispute Resolution Day of the Munich Bar Association, Arbitration in the Lawyers' Practise: discussion on „Arbitration Law: Business area with future“, July 2012.
- Panel member: Warsaw Conference on Dispute Resolution in M&A Transactions - Tactics, Challenges, Defences: Moderation of Panel: „Settlement of Post Closing Disputes: A Different Pace?“, Warsaw, May 2010
- Talk „Claims of third parties in M&A transactions and arbitration proceedings“ (*Drittforderungen beim Unternehmenskauf und Schiedsverfahren*), Arbitration Round Munich (*Gesprächskreis Schiedsrecht München*), July 2009.
- Talk „Claims of third parties despite guarantee – buyers of a company between all seats?“ (*Drittanspruch trotz Garantie – Unternehmenskäufer zwischen allen Stühlen?*), German Institution of Young Arbitrators (*DIS 40*), Munich, May 2008.
- Talk „Modern conflict management – economy mediation and arbitration proceedings“ (*Modernes Konfliktmanagement – Wirtschaftsmediation und Schiedsverfahren*), Chamber of Commerce Munich, November 2006.
- Conference „New arbitration law in Poland (*Neues Schiedsrecht in Polen*)“ Warsaw, November 2005.

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

- German Institution of Arbitration (*Deutsche Institution für Schiedsgerichtsbarkeit e.V., DIS*).
- Member of the academic advisory board (*Munich Center for Dispute Resolution*)

9. Languages

Mother tongue: German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): English

10. In which legal systems have you trained?

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Civil Law | <input type="checkbox"/> Common Law | |
| <input type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| <input checked="" type="checkbox"/> German Law | <input type="checkbox"/> Slovakian Law | <input type="checkbox"/> European Law |

Other _____

11. What is your main jurisdiction of practice?

Germany

12. In which jurisdictions are you admitted to the bar?

Germany

13. Special expertise or specializations (please list a maximum of five):

- | | | | |
|---|--|---|---|
| <input type="checkbox"/> Antitrust/Unfair competition | <input checked="" type="checkbox"/> Damages | <input type="checkbox"/> Insolvency | <input type="checkbox"/> Natural resources |
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input type="checkbox"/> Power plants |
| <input checked="" type="checkbox"/> Banking & Finance | <input type="checkbox"/> Domain name disputes | <input type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Private Intern. Law |
| <input type="checkbox"/> Capital markets | <input type="checkbox"/> Employment | <input type="checkbox"/> Investment arbitration | <input type="checkbox"/> Public Intern. Law |
| <input type="checkbox"/> CISG | <input type="checkbox"/> Energy | <input type="checkbox"/> ISDS/Foreign inves. | <input checked="" type="checkbox"/> Real estate |
| <input type="checkbox"/> Civil fraud disputes | <input type="checkbox"/> Engineering | <input type="checkbox"/> Joint ventures | <input type="checkbox"/> Shipping |
| <input checked="" type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment | <input type="checkbox"/> Licensing | <input type="checkbox"/> Sports |
| <input type="checkbox"/> Commodity market | <input type="checkbox"/> Healthcare & Pharmac. | <input type="checkbox"/> Life sciences | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Company/Corporate/M&A | <input type="checkbox"/> Hotel/Gastro./Tour. | <input type="checkbox"/> Maritime arbitration | <input type="checkbox"/> Technology |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Media | <input type="checkbox"/> Telecommunication |
| <input checked="" type="checkbox"/> Contract law | <input type="checkbox"/> Infrastructure | <input type="checkbox"/> Mining | <input type="checkbox"/> Transport |
| <input type="checkbox"/> Other _____ | | | |

14. Date of birth: 03. September 1955

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I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

27. September 2023
Date