

VIAC – Questionnaire for Arbitrators

1. Name: Dr. Elke Umbeck

2. Citizenship: German

3. Contact information:

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4. Current position: Partner at Heuking Kühn Lüer Wojtek (since 2008)

5. Education:

- Freshfields Bruckhaus Deringer, Berlin und Hamburg (2001-2008)
- Institute for Foreign and International Civil Law, University of Cologne (1996-1999)
- Universities of Bielefeld, Strasbourg, Cologne and Münster (Dr jur 1998)

6. Practiced experience in arbitration

- How many arbitrations have you participated in (domestic/international); under which Rules?
Approx 70 (national and international ICC, DIS, CEAC, Ad hoc)
- How often have you acted as Chairman?
5
- How often have you acted as Sole Arbitrator?
5
- How often have you acted as Co-Arbitrator?
10
- How often have you acted as Counsel?
More than 50 proceedings
- How often have you acted in a different function (e.g. Administrative Secretary)?
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7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

- Together with Dr. Jonas Pust, Chambers Global Practice Guide, International Arbitration, Germany law and Practice, 3rd edition, 2020
- Together with Dr. Jonas Pust, Update Dispute Resolution, Streitbeilegung vor staatlichen Gerichten und Schiedsgerichten in Zeiten der Covid-19-Pandemie, April 2020
- Together with Dr. Jonas Pust, ICC Germany-Magazin, Modernes Case Management, November 2019
- Together with Ulrike Gantenberg and Dr. Jonas Pust, Chambers Global Practice Guide International Arbitration, 2nd edition, 2019

- Update Schiedsgerichtsbarkeit Neue DIS-Rules treten am 01. März 2018 in Kraft, February 2018
- Together with Ulrike Gantenberg, ICC Germany-Magazin Schiedsgerichtsbarkeit, Herausforderung Mehrparteien-Verfahren, May 2017
- Update Schiedsgerichtsbarkeit, Neue ICC Schiedsordnung tritt am 01. März 2017 in Kraft, February 2017
- M&A Disputes: Deceit, Avoidance and Restitution, Kiev Arbitration Days, November 6, 2014 in Kiev
- Konfliktlösung im Anlagenbau und in Bausachen: Adjudikation und Schiedsverfahren – der bessere Weg?, Lecture at Handelskammer Hamburg, March 27, 2014
- Guerilla Tactics im Schiedsverfahren, Reaktionsmöglichkeiten für Gegner, Schiedsgerichte, staatliche Gerichte, Lecture at the 2. Hamburg Arbitration Talks of the Hamburg Arbitration Circle e.V., June 21, 2011 in Hamburg
- How do German Courts support Arbitral Tribunals in their Fight against Guerilla Practices?, ICC-Austria-Conference, November 12 and 13, 2010 in Vienna according “Guerilla Tactics in International Arbitration and Litigation”
- Managerhaftung als Gegenstand schiedsgerichtlicher Verfahren (SchiedsVZ 3/2009, p. 143-149)

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

- German Institution of Arbitration (DIS)
- German Maritime Arbitration Association (GMAA)
- Hamburg Arbitration Circle e.V. (member of the management board)
- CEAA Chinese European Arbitration Association e.V. (vice president)

9. Languages

Mother tongue: German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): English, Italian, French

10. In which legal systems have you trained?

- | | | |
|---------------------------------------|---|--|
| <input type="checkbox"/> Civil Law | <input type="checkbox"/> Common Law | |
| <input type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| x German Law | <input type="checkbox"/> Slovakian Law <input type="checkbox"/> | <input type="checkbox"/> European Law |

Other _____

11. What is your main jurisdiction of practice?

Germany

12. In which jurisdictions are you admitted to the bar?

13. Special expertise or specializations (please list a maximum of five):

- | | | | |
|---|---------------------------------------|-------------------------------------|-----------------------|
| <input type="checkbox"/> Antitrust/Unfair competition | x Damages | <input type="checkbox"/> Insolvency | x Power plants |
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | x Private Intern. Law |

- Banking & Finance
- Capital markets
- CISG
- Civil fraud disputes
- Commercial disp. / transactions
- Commodity market
- Company/Corporate/M&A
- Construction
- Contract law
- Other _____
- Domain name disputes
- Employment
- Energy
- Engineering
- Entertainment
- Healthcare & Pharmac.
- Hotel/Gastro./Tour.
- Inform. technology (IT)
- Infrastructure
- Intellect. property (IP)
- ISDS/ Foreign invest.
- Joint ventures
- Licensing
- Life sciences
- Maritime arbitration
- Media
- Mining
- Natural resources
- Public Intern. Law
- Real estate
- Shipping
- Sports
- State/Public contracts
- Technology
- Telecommunication
- Transport

14. Date of birth: 03.10.1971

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I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

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Date Signature