

VIAC – QUESTIONNAIRE

1. **Name:** Jeffrey M. Sullivan

2. **Date of birth:** 31 December 1973

3. **Citizenship:** USA

4. **Contact information:**

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5. **Current position:** Partner, Allen & Overy LLP (London office)

6. **Education:** B.A., University of Florida; J.D., University of Florida; LLM, University College London

7. **Practiced experience in arbitration:**

How many arbitrations have you participated in (domestic/international)? More than 100 as counsel. One as arbitrator

Under which Rules? LCIA, ICC, UNCITRAL, ICSID, AAA

How often have you acted as Chairman? 0

How often have you acted as Sole Arbitrator? 0

How often have you acted as Co-Arbitrator? 1

How often have you acted as Counsel? In more than 100 arbitrations

How often have you acted in a different function (e.g. Administrative Secretary)?

8. **Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):**

See attached list of publications and speaking engagements

9. **Membership in arbitral institutions / functions in arbitral institutions/organizations:**

Member of LCIA, member of ICC, Advisory Board Member of Institute of Transnational Arbitration

10. **Languages:**

Mother tongue: English

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language):

11. **In which legal system have you trained?** Dual qualified in USA and UK

12. **What is your main jurisdiction of practice?** UK

13. **Special expertise or specializations (please list a maximum of three):** Energy, Construction, Telecoms

I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate. I understand that the above information will be used for VIAC's internal use and may be given to interested persons and may be put on VIAC's website.

Date and signature

[Handwritten signature]

29 June 2016

PUBLICATIONS

- Co-Author: “*Multiparty Proceedings and Mass Claims*” in *The Investment Treaty Arbitration Review*, Law Business Research (2016)
- Co-Author: “*Interim Costs Orders: The Tribunal's Tool to Encourage Procedural Economy*”, in “*Reshaping the Investor-State Dispute Settlement System*”, Brill/Nijhoff (2015).
- Co-Author, “*A Comparison of ICSID and UNCITRAL Arbitration for Investment Arbitration*”, *Croatian Arbitration Yearbook*, 20th Volume, 2013.
- Co-Author: *Arbitration under the Energy Charter Treaty: Recent Decisions and a Look to the Future* in “*Investment Protection and the Energy Charter Treaty*” *Juris* 2011.
- Author: *The Future of Investment Arbitration in Asia* in “*Investment Treaty Arbitration and International Law*” Volume 4, (eds. Weiler & Laird) *Juris* 2011.
- Co-Author: *A Comparison of ICSID and UNCITRAL Arbitration: Areas of Divergence and Concern* in “*The Backlash Against Investment Arbitration*” (eds. Chung, Kaushal, Balchin and Waibel), Kluwer Law International (2010).
- Author: *A Protected Shell? Shell Companies and Foreign Investment Law* in “*Legal Issues in Foreign Investment Contracts*” (eds. Trunk & Aliyev) *Eastlaw* 2009.
- Author: *Case Comment: Desert Line Projects LLC v Republic of Yemen*, LCIA Young International Arbitration Group E-Letter, December 2008.
- Author: Bibliography, *Damages in International Arbitration: Strategies, Techniques and Presentation*, *World Arbitration and Mediation Review*, Vol. 2, No. 4, 2008.
- Co-Author: *Litigating Under the Shadow of the New York Convention*, *Arbitration*, Vol. 74, No. 2, May 2008.
- Co-Author: *What Investment Treaty Tribunals Are Saying and Doing – Leading Cases*, *Transnational Dispute Management*, 2007.
- Author: *US Federal Court Outlines Standards for Arbitrator Conflicts of Interest*, *British Institute of International and Comparative Law*, 2007 *Bulletin of International Legal Developments* No. 15.
- Author: *WTO Appellate Body Issues Ruling in US/Canada Softwood Lumber Dispute*, *British Institute of International and Comparative Law*, 2006 *Bulletin of International Legal Developments* No. 8.

SPEAKING ENGAGEMENTS

- Speaker: “*Environmental Policies: A Shield Or A Sword In Investment Arbitration?*” *University of Oslo Symposium, The Present and Future Role of Investment Treaty Arbitration in Adjudicating Environmental Disputes* (Oslo, November 2015)
- Speaker: “*Arbitrating with Sovereigns*” at *EMTA Special Seminar: Arbitration in the Emerging Markets* (New York, October 2015)

- Speaker “Dispute Resolution Trends in the Energy Sector” at *UK Energy Law and Policy Conference, University of Oxford* (Oxford, September 2015)
- Speaker: “Accommodating Human Rights and Environmental Obligations” at *GAR Live BITs* (Washington DC, April 2015)
- Speaker: “The European Commission’s Role in Investment Treaty Disputes” at British Institute of International and Comparative Law Seminar *The Brave New World of EU Investment Treaties - the Commission's Many Faces* (London, March 2015)
- Speaker: “Pro-active Management of your Investment Treaty Programme” at *4th Annual Investment Treaty Conference* (Prague, October 2014)
- Speaker: “Fair and Equitable Treatment as Jurisprudence Constante” at *BIICL 23rd Annual Investment Treaty Forum Public Meeting* (London, September 2014)
- Speaker: “Resolving Investment Disputes through Arbitration: the Situation in Ukraine” at *International Arbitration Best Practices, Moscow Times Conference* (Moscow, August 2014)
- Speaker: “The Right to Regulate” at *20 Years of the Energy Charter Treaty Conference* organised by ICSID, the Energy Charter Treaty Secretariat and the Stockholm Chamber of Commerce (Paris, March 2014)
- Speaker: “Human Rights and Investment Law” at *Institute for Transnational Arbitration Winter Forum* (Houston, February 2014)
- Speaker: "Investor-State Dispute Settlement Procedures and Case Management" at *UNCTAD Capacity Building Program for the Islamic Development Bank* (Sarajevo, December 2013).
- Speaker: “EU Law and Investment Treaties” at European University Institute and Florence School of Regulation Conference *EU Energy and Climate Policy: Where do we go from here? Change* (Brussels, November 2013)
- Speaker: “Summary Adjudication in International Arbitration” at *Institute for Transnational Arbitration Winter Forum* (Miami, February 2013)
- Speaker: “The UNCITRAL Rules and Investment Treaty Arbitration” at *20th Annual Croatian Arbitration Days* (Zagreb, December 2012)
- Speaker: "Investor-State Dispute Settlement Procedures and Case Management" at *UNCTAD Capacity Building Program for the Islamic Development Bank* (Casablanca, March 2011).
- Speaker: "EU Law and International Investment Law: Questions of Jurisdiction and Applicable Law" at the *British Institute of International and Comparative Law's Investment Treaty Forum Seminar* (London, March 2011).
- Speaker: "Hot Areas in Investment Arbitration in Central and Eastern Europe" at *C5 Conference Investment Treaty Arbitration* (London, September 2010).
- Speaker: "The Future of Investment Arbitration in Asia" at *A Debate and Discussion: Investment Arbitration in the Asia-Pacific Region* (Washington DC, April 2010).

- Speaker: "Treaty Conflict in Central and Eastern Europe – EU Law and Investment Treaties" at *ICC Conference on The Importance of Arbitration in Central and Eastern Europe* (Bratislava, March 2010).
- Speaker: "The Role of National Legislation in Investment Disputes" at the Institute of State and Law of the Russian Academy of Sciences International Conference on *The Settlement of Investment Disputes with Countries in Transition* (Moscow, November 2009).
- Speaker: "Resolving Business Disputes in Today's China – Dispute Resolution Options in China's BITs" at the CIETAC/China-Britain Business Council conference *How to Avoid Legal Risks and Resolve Disputes When Making Investment in China* (London, June 2009).
- Speaker: "Limitations of Liability" at C5 International Conference on *Liabilities, Damages and other Contentious Issues in International Commercial Agreements* (London, March 2009).
- Speaker: UNCTAD Intergovernmental Expert Meeting, "*Development Dimension of International Investment Agreements*" (Geneva, February 2009).
- Speaker: "Shell Companies and Foreign Investment Law" at the Institute of East European law (Kiel University) International Conference on *Legal Aspects of Investment Contracts* (Baku, November 2008).
- Speaker: "Damages: Causation and Remoteness in Investment Arbitration" at the British Institute of International and Comparative Law's Investment Treaty Forum Seminar *Causation, Investment Risk and Remedies: Some Recent Developments* (London, November 2008).
- Speaker: "Limitations of Liability" at C5 International Con *Liabilities, Damages and other Contentious Issues in International Commercial Agreements* (London, September 2008).