VIAC – Questionnaire for Arbitrators

- 1. Name: Dr. Dorothee Schramm FCIArb
- **2. Citizenship:** Swiss / German

3. Contact information:

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4. Current position: Independent arbitrator

5. Education:

- CEDR Accredited Mediator, Centre for Effective Dispute Resolution, England 2023
- Swiss bar admission, Zurich (summa cum laude "Teilerlass") 2007
- Doctor of law (Dr. iur.), Lucerne, Switzerland (summa cum laude) 2004
- First State Exam, University of Göttingen, Germany (summa cum laude, first in state) 2001

6. Practiced experience in arbitration

• How many arbitrations have you participated in (domestic/international); under which Rules?

75 international arbitrations under the ICC Rules, Vienna Rules, Swiss Rules, DIS Rules, UNCITRAL Rules, DIAC Rules, WIPO Rules, LCIA Rules, and ad hoc rules

- How often have you acted as Chairman? **7 times** (as of April 2024)
- How often have you acted as Sole Arbitrator? 18 times (as of April 2024; including 1 as Emergency Arbitrator)
- How often have you acted as Co-Arbitrator? 8 times (as of April 2024)
- How often have you acted as Counsel? **35 times** (as of April 2024)
- How often have you acted in a different function (e.g. Administrative Secretary)? 8 times (as of April 2024)
- 7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

Recent publications since 2020 (further publications can be found at https://swissarbitrator.com/insights):

 Schiedsverfahren und anwendbares Recht [Arbitral proceedings and applicable law], in: Hellwig Torggler et al. (eds.), Handbuch Schiedsgerichtsbarkeit, Nomos / Verlag Österreich / Schulthess, 3rd ed. Forthcoming, 2nd ed. 2017, 1st 2007 (with Nathalie Voser and Florian Haugeneder)



- Case Management Conferences and Early Meetings, in: Courtney Lotfi et al. (ed.), International Arbitration in Practice, Kluwer Law International, forthcoming (with Sam Luttrell and Joshua Banks)
- Human Rights, Environment and International Public Policy Key Decisions of Switzerland's Highest Court, in: Lauro Gama et al. (ed.), Navigating the New Contents of International Public Policy – Compliance in Environment and Human Rights, ICC Institute of World Business Law Dossier XXI, 2023, pp. 105-114
- Alternative Dispute Resolution Provisions in Life Sciences Agreements, LexisNexis, status October 2022 (between June 2020 and October 2021 with Benjamin Moss)
- Trends in International Arbitration: The Fallout from COVID-19, Pharmaceutical Technology Europe, July 2022 (with Tanya Landon)
- Statutory Arbitration Clauses of Swiss Companies, 39 ASA Bulletin 4/2021, pp. 778-790 (with Boris Catzeflis; article originally published in French in Plaidoyer 4/2021, pp. 34-38)
- The Growth of International Arbitration in the Life Sciences Sector, Pharmaceutical Executive, April 2021 (with Katie von der Weid)
- How to Obtain Effective Relief When a Global Pandemic Disrupts Your Supply Chain, In Vivo, May 2020
- Coronavirus: what you need to know about force majeure, Chartered Institute of Procurement & Supply, 23 March 2020

Recent speaking engagements since June 2021 (further speaking engagements can be found at <u>https://swissarbitrator.com/insights</u>):

- Organiser and speaker, "Launch of the World's First Global Tribunal Secretary Platform", ASA, Jus Connect, AAA-ICDR, CIArb and VIAC, Vienna, 26 March 2024
- Speaker, "New Tool: World's First Global Tribunal Secretary Platform", Webinar of the SOAS University of London: "Tribunal secretaries in international arbitration: practical guidelines and new tools", 12 March 2024
- Speaker, "New Solutions for New Needs: Global Tribunal Secretary Platform", The International Arbitration Club of New York, 14 December 2023
- Debater, "SVAMC Tech Debates", Silicon Valley Arbitration & Mediation Center (SVAMC) and Foley Hoag, New York, 13 November 2023
- Speaker, "The GAR Live Decision Time", GAR Live: Hong Kong, 19 October 2023
- Speaker, "Around the World and Back", Australian Centre for International Commercial Arbitration (ACICA) and Chartered Institute of Arbitrators (CIArb Australia) International Arbitration Conference: "Following a Black Swan – Transformative Thinking and What Comes Next for International Arbitration", Perth, 9 October 2023
- Speaker, "150 Years of Swiss Arbitration: Quo Vadis in Asia?", ASA Asia Chapter, Singapore, 31 August 2023
- Keynote Speaker, "International Arbitration in the Era of Disputes", Swiss Arbitration Academy Graduation Ceremony, Bern, 23 June 2023
- Speaker, "Pandemie, Krieg und Inflation Disruption im Schweizer Recht" [Pandemic, war and inflation – disruption under Swiss law], DIS Spring Conference: Störung, Veränderung und Neuordnung in globalen Lieferketten – Pandemie, Krieg und Inflation in Schiedsverfahren, Munich, 3 May 2023
- Moderator and speaker, "Protection of Trade Secrets and Other Confidential Information," ASA Local Group Zurich and ZAV Fachgruppe Schiedsgerichtsbarkeit, Zurich, 21 March 2023
- Speaker, "Pharma and Life Sciences Disputes" (patent arbitration / long-term collaboration agreements and dispute boards), 5th Schiefelbein Global Dispute Resolution Conference, Phoenix, 13 January 2023

- Speaker, "Comparative Approach to International Public Policy," 42nd ICC Institute of World Business Law Annual Conference: Navigating the New Contents of International Public Policy – Compliance in Environment and Human Rights, Paris, 6 December 2022
- Speaker, "Le rôle des institutions et associations d'arbitrage dans le paysage arbitral national", Conference organised by ASA, AFA and the Ordres des Avocats of Geneva and Paris, Le rayonnement d'une place d'arbitrage aujourd'hui et demain: Regards croisés et comparés francosuisses, Geneva, 7 October 2022
- Speaker, "A Comparative Analysis of International Arbitration Jurisdictions (US and Europe)," Webinar of the Silicon Valley Arbitration & Mediation Center (SVAMC) and Arbitration Ireland, 17 May 2022
- Host and speaker, "Resolving IP disputes in arbitration: a blessing or a curse?," In-House Counsel Seminar Series, 9 December 2021
- Host and speaker, "Drafting International Life Sciences Contracts to Avoid Common Disputes," In-House Counsel Seminar Series, 24 June 2021

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

- Member of the Executive Committee and the Board of the Swiss Arbitration Association (ASA)
- Vice-President and member of the Board of the Silicon Valley Arbitration and Mediation Center (SVAMC) and included on the SVAMC List of the World's Leading Technology Neutrals (the <u>Tech</u> <u>List®</u>)
- Fellow of the Chartered Institute of Arbitrators (FCIArb)
- Former member of the International Advisory Board of the Vienna International Arbitral Centre (VIAC)
- Former alternate member of the Appointment Committee of the German Institution of Arbitration (DIS)
- Panel of Arbitrators of the Dubai International Arbitration Centre (DIAC), eBRAM, Hong Kong International Arbitration Centre (HKIAC), International Centre for Dispute Resolution (ICDR), International Chamber of Commerce (ICC), Swiss Arbitration Centre, Vienna International Arbitral Centre (VIAC), and WIPO Arbitration and Mediation Centre
- Member of the Disciplinary Commission of the Gymnastics Ethics Foundation (GEF)
- Member of the Austrian Arbitration Association (ArbAut), ArbitralWomen, International Bar Association (IBA), International Chamber of Commerce (ICC) Switzerland, International Council of Commercial Arbitration (ICCA), and Inter-Pacific Bar Association (IPBA)

9. Languages

Mother tongue: German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): English and French

10. In which legal systems have you trained?

- X Civil Law 🗖 Common Law
- Austrian Law
 Hungarian Law
 - 🗖 Polish Law

Slovakian Law

🗖 Ukrainian Law

X German Law

Czech Law

X European Law

X Swiss Law

Other _____

11. What is your main jurisdiction of practice?

Switzerland

12. In which jurisdictions are you admitted to the bar?

Switzerland

13. Special expertise or specializations (please list a maximum of five):

Antitrust/Unfair competition		Damages		Insolvency		Natural resources
Aviation		Distribution		Insurance		Power plants
Banking & Finance		Domain name disputes		Intellect. property (IP)		Private Intern. Law
Capital markets		Employment		Investment arbitration		Public Intern. Law
CISG	Х	Energy		ISDS/Foreign inves.		Real estate
Civil fraud disputes		Engineering		Joint ventures		Shipping
Commercial disp. / transactions		Entertainment	х	Licensing		Sports
Commodity market	Х	Healthcare & Pharmac.	х	Life sciences		State/Public contracts
Company/Corporate/M&A		Hotel/Gastro./Tour.		Maritime arbitration	х	Technology
Construction		Inform. technology (IT)		Media		Telecommunication
Contract law	Х	Infrastructure		Mining		Transport
Other						

14. Date of birth: 12 August 1976

VIAC offers arbitration practitioners the possibility to present themselves on its website. VIAC reserves the right to publish any presentations submitted and to remove it as the case may be. Parties are free to nominate an arbitrator of their choice and so is the VIAC Board when appointing an arbitrator. These presentations do not constitute recommendations but may assist the parties in choosing an arbitrator willing to conduct proceedings according to the Vienna Rules. The fact that an arbitration practitioner appears on this list, does not authorize this person to use the title "VIAC-arbitrator".

X I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

X I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at http://www.viac.eu.

11 April 2024 Date