

VIAC – Questionnaire for Arbitrators

1. **Name:** Mark Ritchie

2. **Citizenship:** U.S.A.

3. **Contact information:**

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4. **Current position:** Solo practice, Law Office of Mark Ritchie, P.C.

5. **Education:** South Texas College of Law, J.D. 1996

6. **Practiced experience in arbitration**

- How many arbitrations have you participated in (domestic/international); under which Rules?

Domestic arbitrations:

(1) Approximately 30 completed under Texas Administrative Code Rule 9.804

(2) Approximately 10 pending after prehearing stage under FINRA Code of Arbitration Procedure.

- How often have you acted as Chairman?

I have not yet acted as Chairman in an arbitration.

- How often have you acted as Sole Arbitrator?

Approximately 30 times.

- How often have you acted as Co-Arbitrator?

Approximately 10 times.

- How often have you acted as Counsel?

I have not acted as counsel.

- How often have you acted in a different function (e.g. Administrative Secretary)?

I have not acted in a different function.

7. **Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):**

"Abor is Dead, Long Live Prudential: The Texas Supreme Court Resolves the Split of Authority on Mandamus and Dominant Jurisdiction," HBA Appellate Lawyer (July 2016).

"Appellate Mediation: Embracing a Pragmatic Approach," HBA Appellate Lawyer (September 2016).

"Frivolous Arguments on Appeal: Time for a New Approach," HBA Appellate Lawyer (December 2016).

"Cordial Conversations: Conferring with Opposing Counsel for Better Motion Practice," Texas Bar Journal (March 2017).

"'Sympathetic Summary' as a Tool for Better Appellate Arguments," HBA Appellate Lawyer (March 2017).

"Natural Language Legal Research and the Importance of Algorithmic Accountability," HBA Appellate Lawyer (October 2017).

"Arbitration's Appeal," HBA Appellate Lawyer (March 2018).

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

Fellow, Chartered Institute of Arbitrators

Fellow, Australian Centre for International Commercial Arbitration

Panel of Arbitrators, Asian International Arbitration Centre (formerly KLRCA), 2018-2021 term

Public Arbitrator, Financial Industry Regulatory Authority (FINRA)

9. Languages

Mother tongue: English

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language):

10. In which legal systems have you trained?

- | | | |
|---------------------------------------|---|--|
| <input type="checkbox"/> Civil Law | <input checked="" type="checkbox"/> Common Law | |
| <input type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| <input type="checkbox"/> German Law | <input type="checkbox"/> Slovakian Law <input type="checkbox"/> | <input checked="" type="checkbox"/> European Law |

Other ENGLISH LAW

11. What is your main jurisdiction of practice?

United States

12. Special expertise or specializations (please list a maximum of five):

- | | | | |
|---|--|---|---|
| <input checked="" type="checkbox"/> Antitrust/Unfair competition | <input type="checkbox"/> Damages | <input type="checkbox"/> Insolvency | <input type="checkbox"/> Power plants |
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input checked="" type="checkbox"/> Private Intern. Law |
| <input type="checkbox"/> Banking & Finance | <input type="checkbox"/> Domain name disputes | <input type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Public Intern. Law |
| <input type="checkbox"/> Capital markets | <input type="checkbox"/> Employment | <input type="checkbox"/> ISDS/ Foreign invest. | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> CISG | <input type="checkbox"/> Energy | <input type="checkbox"/> Joint ventures | <input type="checkbox"/> Shipping |
| <input checked="" type="checkbox"/> Civil fraud disputes | <input type="checkbox"/> Engineering | <input type="checkbox"/> Licensing | <input type="checkbox"/> Sports |
| <input checked="" type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment | <input type="checkbox"/> Life sciences | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Commodity market | <input type="checkbox"/> Healthcare & Pharmac. | <input type="checkbox"/> Maritime arbitration | <input type="checkbox"/> Technology |
| <input type="checkbox"/> Company/Corporate/M&A | <input type="checkbox"/> Hotel/Gastro./Tour. | <input type="checkbox"/> Media | <input type="checkbox"/> Telecommunication |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Mining | <input type="checkbox"/> Transport |
| <input checked="" type="checkbox"/> Contract law | <input type="checkbox"/> Infrastructure | <input type="checkbox"/> Natural resources | |
| <input type="checkbox"/> Other _____ | | | |

13. Date of birth: 17 July 1968

I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

VIAC offers arbitration practitioners the possibility to present themselves on its website. VIAC reserves the right to publish any presentations submitted and to remove it as the case may be. Parties are free to nominate an arbitrator of their choice and so is the VIAC Board when appointing an arbitrator. These presentations do not constitute recommendations but may assist the parties in choosing an arbitrator willing to conduct proceedings according to the Vienna Rules. The fact that an arbitration practitioner appears on this list, does not authorize this person to use the title "VIAC-arbitrator".

I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

Date and Signature