

VIAC – Questionnaire for Arbitrators

1. Name: Thomas D. Halket, FCI Arb, C. Arb

2. Citizenship: USA

3. Contact information:

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Website: <https://www.nadn.org/thomas-halket>

LinkedIn: thalket

4. Current position: Principal of Halket Chambers (for ADR work), Of Counsel for Ferdinand IP Law Group, Adjunct Professor of Law at the Fordham University School of Law (Subjects taught include International Arbitration, Commercial Technology, Intellectual Property Licensing, Transactional Intellectual Property and Entrepreneurship Law).

5. Education: Columbia University School of Law (Harlan Fiske Stone Scholar; Writing and Research Editor, THE COLUMBIA JOURNAL OF ENVIRONMENTAL LAW); Massachusetts Institute of Technology (S.B. and S.M. (Physics), Sigma Xi); Research Fellowship, Harvard University Observatory.

6. Practiced experience in arbitration

- How many arbitrations have you participated in (domestic/international); under which Rules? Over 100, approximately 2/3 domestic 1/3 international. AAA, ICDR, ICC, CIARB, CPR, UNICTRAL, WIPO
- How often have you acted as Chairman? Over 30
- How often have you acted as Sole Arbitrator? Over 60
- How often have you acted as Co-Arbitrator? Over 30
- How often have you acted as Counsel? 7
- How often have you acted in a different function (e.g. Administrative Secretary)?

7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

Selected publications

International Arbitration: Meeting Today's Challenges to Prepare for the Future, Jan. ASIAN DISPUTE REVIEW 4 (2020).

How to "Hot tub": The Chartered Institute of Arbitrators New Witness Conferencing Guidelines, 12 (no.2) NEW YORK DISPUTE RESOLUTION LAWYER 58 (Fall 2019).

THOMAS D. HALKET, DAVID L. EVANS AND THEODORE J. FOLKMAN, *ARBITRATION OF INTELLECTUAL PROPERTY DISPUTES IN THE UNITED STATES* (Juris Publishing, 2018).

State Crowdfunding and the Intrastate Exemption Under Federal Securities Laws—Less Than Meets The Eye?, 34 REV. BANKING & FIN. L. 521 (2015) (joint author).

Using Information Technology in Arbitration, vol. 32, no. 1 GPSOLO 44 (2015).

Arbitration, Antitrust and Intellectual Property: A Perfect Storm?, in CONTEMPORARY ISSUES IN INTERNATIONAL ARBITRATION AND MEDIATION (Arthur W. Rovine ed., Brill Nijhoff, 2013).

ARBITRATION OF INTERNATIONAL INTELLECTUAL PROPERTY DISPUTES (Thomas D. Halket ed., Juris Publishing, 2012) (also author with others).

How to Use an e-brief, Feb. THE RESOLVER 13 (2011).

US Law Report: Arthur Andersen LLP v. Wayne Carlisle, Nov. THE RESOLVER 4 (2009).

Choice of Law in International Intellectual Property Arbitrations: A Three-Dimensional Chess Game?, in Contemporary Issues in International Arbitration and Mediation (Arthur W. Rovine ed., Martinus Nijhoff, 2009)

Improving Arbitration through Technology: a Quest for Basic Principles, 62 DISPUTE RESOLUTION JOURNAL no. 3, 54 (2007)

The Use of Technology in Arbitration: Ensuring the Future is Available to Both Parties, 81 ST. JOHN'S L. REV. 269 (2007)

Arbitration Offers Advantages in Resolving Technology Disputes, N.Y.L.J. TECHTRENDS, vol. 8, no. 2, March 22, 2004 at T3

Using Technology to Resolve Business Disputes, A special supplement of the ICC Court of Arbitration Bulletin, ICC Publication No. 667 (2004)(with others)

Outsourcing the Web Site Design Project, N.Y.L.J.E-COMMERCE, June 9, 2003 at S5

To Do: Determine the Value of My Failed Startup's IP, VENTURE CAPITAL JOURNAL, October 2002, at 43

The Benefits of Arbitration for Technology-Based Disputes: More than meets the Eye?, THE METROPOLITAN CORPORATE COUNSEL, Vol. 8, No. 9, September 2000

ADR and On-Line Dispute Resolution, in ARBITRATION NOW 69 (Paul H. Haagen ed., ABA Press, 1999) (joint author)

The Role and Responsibility of the Association and the Bar in a Changing Technological Environment, 49 REC.A.B.C.N.Y. 470 (1994) (with others).

Selected speeches, etc.

Columbia Law School – Chartered Institute of Arbitrators Course on International Arbitration (New York City, NY) (2020, 2019, 2018, 2017, 2016 and 2015) (Course Director and Faculty).

Annual Conference on International Arbitration and Mediation (Fordham Law School – New York City, NY) (2019) (Moderator of panel on witness conferencing).

SVAMC Program: Issues in IP Arbitration (New York City, NY) (2019) (Moderator).

CI Arb Presidential Presentations on Current Issues in Arbitration (2019) (New York, Vienna, Singapore, Hong Kong, London, Toronto, San Francisco, Dublin, Edinburgh, Birmingham (UK), Guilford (UK)).

CI Arb Asia Pacific Regional Conference (Singapore) (2019) (Speaker on Alternative Procedures for Adoption in International Arbitration).

Current Issues in IP Arbitration (Stockholm, Sweden) (2018).

CIArb Dispute Appointment Service Conference on Alternative Dispute Resolution - Processes and Ethics (London, UK) (2018) (Panel Chair and Speaker).

CIArb International Arbitration Conference: The Synergy and Divergence between Civil Law and Common Law in International Arbitration (Paris, France) (2017) (Speaker on Intellectual Property Disputes in the Telecom Industry).

American Arbitration Association Course: Dealing with Difficult Attorneys in Arbitration (2016) (Faculty).

What's Different about International Arbitration? Practical Tips for Lawyers and Arbitrators (New York International Arbitration Center – New York City, NY) (2014) (Speaker on Ethical Cross-Currents in International Practice)

Third Annual Damages in International Arbitration Conference (Juris – New York City, NY) (2014) (Speaker on Damages in International Intellectual Property Arbitrations)

Eighth Law & Information Society Symposium (Fordham Law School/Princeton University – New York City, NY) (2014) (Speaker on “How Do Corporate and Financial Regulations Affect Start-ups and Capital Investment for the Internet of Things?”)

Bridge the Gap CLE Program (Fordham Law School – New York City, NY) (2014) (Speaker on “Introduction to Financing Entrepreneurial Companies”)

Eighth Annual Conference on International Arbitration and Mediation (Fordham Law School – New York City, NY) (2013) (Speaker on “When Laws Collide: Can an IP Arbitration Award Violate the Antitrust Laws?”)

Licensing Executives Society Program (New York City, NY) (2012) (Speaker on using arbitration for the resolution of IP disputes)

E-Tricks, E-Traps and E-Disputes: Using IT for Arbitrations and Using Arbitration for IT disputes (London, England) (2010) (Speaker on the use of information technology in arbitration)

International Conference 2010 – The Global Growth of ADR (Dublin, Ireland) (Speaker on United States ADR developments)

Forum on the Arbitration of IP Disputes (Dublin, Ireland) (2010) (Speaker on choice of law in international IP arbitrations)

Advanced CIArb – CPR International Arbitrator Training Course (New York City, NY) (2010) (Tutor)

Eleventh Annual American Bar Association Section of Dispute Resolution Spring Conference (New York City, NY) (2009) (Speaker on e-discovery in arbitration)

Third Annual Conference on International Arbitration and Mediation (Fordham Law School – New York City, NY) (2008) (Moderator of panel on technology and adr; speaker on choice of law in international IP arbitrations)

The Future of Commercial Dispute Resolution (Law Society of Scotland and CIArb - Edinburgh, Scotland) (2008) (Speaker on the use of technology in arbitration)

International ADR Reporting Program Series (ICDR – Philadelphia, PA) (2006) (Moderator of panel on intellectual property and international arbitration)

Symposium on Transatlantic Perspectives on Alternative Dispute Resolution (St. John's University School of

Law and CI Arb - London, England) (2006) (Moderator of panel on technology issues and adr and speaker on the use of technology in arbitration)

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

Fellow and Chartered Arbitrator of the Chartered Institute of Arbitrators, Fellow of the College of Commercial Arbitrators, Member of "Tech List" (Silicon Valley Arbitration and Mediation Center), Member of National Academy of Distinguished Neutrals, GAR listed arbitrator, Member of the IDR Group (London), Member of the International Arbitration Club of New York, Liveryman of the Worshipful Company of Arbitrators (London), Member of the London Court of International Arbitration and Member of the USCIB Arbitration Committee.

Past President, past Deputy President, past Vice President, past Deputy Chair of the Board of Management, past Chair of the New York Branch, past Chair of the Panels Management Group, past Chair of the Practice and Standards Committee, past Chair of the Technology Subcommittee of the Chartered Institute of Arbitrators. CI Arb representative to UNCITRAL Working Group sessions.

Past Chair of the Technology Advisory Committee of the American Arbitration Association

Arbitrator Judge at Willem C. Vis International Commercial Arbitration Moot Competition.

Past member of Task Force on IT in Arbitration of the ICC Commission on Arbitration.

Past Chair of the Section of Science and Technology of the American Bar Association, of the ABA's Division on Ventures and Enterprise and of the ABA's Aerospace Law Division.

Member of the Arbitration committee, member of the International Commercial Disputes Committee, past member of the International Legal Practice Committee and past Chair of the Subcommittee on Software and the Uniform Commercial Code of the Association of the Bar of the City of New York.

Member of the New York Arbitration Week Organizing Committee.

9. Languages

Mother tongue: English

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): English

10. In which legal systems have you trained?

- | | | |
|---------------------------------------|--|--|
| <input type="checkbox"/> Civil Law | <input checked="" type="checkbox"/> Common Law | |
| <input type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| <input type="checkbox"/> German Law | <input type="checkbox"/> Slovakian Law | <input type="checkbox"/> European Law |

Other _____

11. What is your main jurisdiction of practice?

United States (New York and Massachusetts)

12. Special expertise or specializations (please list a maximum of five):

- | | | | |
|---|----------------------------------|-------------------------------------|---------------------------------------|
| <input type="checkbox"/> Antitrust/Unfair competition | <input type="checkbox"/> Damages | <input type="checkbox"/> Insolvency | <input type="checkbox"/> Power plants |
|---|----------------------------------|-------------------------------------|---------------------------------------|

- | | | | |
|---|---|--|---|
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input type="checkbox"/> Private Intern. Law |
| <input type="checkbox"/> Banking & Finance | <input type="checkbox"/> Domain name disputes | <input checked="" type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Public Intern. Law |
| <input type="checkbox"/> Capital markets | <input type="checkbox"/> Employment | <input type="checkbox"/> ISDS/ Foreign invest. | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> CISG | <input type="checkbox"/> Energy | <input type="checkbox"/> Joint ventures | <input type="checkbox"/> Shipping |
| <input type="checkbox"/> Civil fraud disputes | <input type="checkbox"/> Engineering | <input type="checkbox"/> Licensing | <input type="checkbox"/> Sports |
| <input checked="" type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment | <input type="checkbox"/> Life sciences | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Commodity market | <input checked="" type="checkbox"/> Healthcare & Pharmac. | <input type="checkbox"/> Maritime arbitration | <input checked="" type="checkbox"/> Technology |
| <input type="checkbox"/> Company/Corporate/M&A | <input type="checkbox"/> Hotel/Gastro./Tour. | <input type="checkbox"/> Media | <input type="checkbox"/> Telecommunication |
| <input type="checkbox"/> Construction | <input checked="" type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Mining | <input type="checkbox"/> Transport |
| <input type="checkbox"/> Contract law | <input type="checkbox"/> Infrastructure | <input type="checkbox"/> Natural resources | |
| <input type="checkbox"/> Other _____ | | | |

13. Date of birth: July 20, 1948

VIAC offers arbitration practitioners the possibility to present themselves on its website. VIAC reserves the right to publish any presentations submitted and to remove it as the case may be. Parties are free to nominate an arbitrator of their choice and so is the VIAC Board when appointing an arbitrator. These presentations do not constitute recommendations but may assist the parties in choosing an arbitrator willing to conduct proceedings according to the Vienna Rules. The fact that an arbitration practitioner appears on this list, does not authorize this person to use the title "VIAC-arbitrator".

I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

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Date Signature