

VIAC – Questionnaire for Arbitrators

1. Name: Anne-Catherine Hahn

2. Citizenship: Swiss and German

3. Contact information:

Address: IPrime Legal, Hirschengraben 1, 8001 Zurich, Switzerland
Telephone: +41 229 60 69
Fax: +41 229 60 50
E-Mail: anne-catherine.hahn@iprime.legal
Website: www.iprime.legal
LinkedIn: <https://www.linkedin.com/in/annecatherinehahn/>

4. Current position:

Partner with IPrime Legal in Zurich, acting as counsel, arbitrator and mediator

President of a conciliation commission in charge of gender equality issues within universities and research institutions forming part of the ETH Domain

5. Education:

Law degree (1993) and doctorate (2004) from the University of Fribourg, Switzerland

LL.M., University of Michigan Law School, Ann Arbor, USA (2002)

Admission to the Swiss bar (2000)

Mediation training with the Association of the German Bar (DAV) (2016)

6. Practiced experience in arbitration

- How many arbitrations have you participated in (domestic/international); under which Rules?

I have participated in more than 60 cases (all international) under ICC, WIPO, Swiss, DIS, Vienna, SIAC and LCIA Rules.

- How often have you acted as Chairman? 1
- How often have you acted as Sole Arbitrator? 5
- How often have you acted as Co-Arbitrator? 3
- How often have you acted as Counsel? More than 40 times
- How often have you acted in a different function (e.g. Administrative Secretary)? 2

7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

Publications

On dispute resolution topics

- "Bribery Allegations in Arbitration – the Expectations of Courts and Regulators", The International Arbitration Review, 10th ed., London, August 2019.
- "M&A Disputes: Recurring Issues, New Trends and Practical Considerations," The Bulletin of the European Court of Arbitration, No. 1, March 2018.
- "Bribery and Corruption in Arbitration", in: Arbitration in Switzerland - The Practitioner's Guide, 2nd ed., Alphen aan den Rijn 2018.

- Commentary to articles 11 and 12 of the WIPO Rules, in: *Arbitration in Switzerland*, 2nd ed., Alphen aan den Rijn 2018.
- "Res judicata as a challenge for arbitral tribunals", *Austrian Handbook on International Arbitration* 2014, Vienna 2014, Manz Publishing House, 329-341.
- "Dealing with Sovereigns: Enforcement Risks and Planning Tools", *SZIER* 2013, 225-241.
- "Gerichtsstands- und Rechtswahlklauseln im grenzüberschreitenden Bankgeschäft mit Privatkunden", *recht* 2012, 157-167.
- "State Immunity and Veil Piercing in the Age of Sovereign Wealth Funds", *SZW* 2012, 103-118.
- Commentary to articles 70-81 and 89 of the Swiss Civil Procedure Code (joinder, third party notices, collective actions), in: *Stämpfli Handkommentar ZPO* (ed. Baker & McKenzie), Berne 2010.
- "Bribery and Corruption before Swiss Arbitral Tribunals", *Construction Law Journal* 2010, 425-438.
- "Schweizer Schiedsgerichte und der internationale Kampf gegen Korruption", *SZW* 2010, 382-395.

On other legal and compliance topics

- "Intelligence artificielle et droit d'auteur", National Report concerning Switzerland, *Journées internationales de l'Association Henri Capitant* (forthcoming, co-author with Ernst Brem).
- "Sort des données personnelles et des droit immatériels sur Internet et dans les applications", in: P. Pichonnaz and F. Werro, *Pratique contractuelle*, 2020 (forthcoming).
- "Compliance-Verstöße als unternehmerisches Risiko", *KMU-Magazin* 1-2/2020, February 2020
- "Digital Medical Applications: Legal and Compliance Risks", *Life Science Recht* 1/2020.
- "Die neue EU Whistleblower-Richtlinie – Handlungsbedarf für Schweizer Unternehmen?", *Recht relevant* 2020.
- "Dealing with Sovereigns: Enforcement Risks and Planning Tools", *SZIER* 2013, 225-241.
- "The Law of Restitution – a (Western) European Perspective", in: Mauro Bussani und Franz Werro (ed.), *European Private Law*, Durham 2009.
- Commentary to articles 62-67 of the Swiss Code of Obligations (unjust enrichment) in: *Handkommentar zum Schweizerischen Privatrecht*, Zurich 2007 (has since then been updated in two consecutive editions).
- Commentary to articles 12, 13 and 17 of the Swiss Cartel Act (private antitrust litigation), Baker & McKenzie *Commentary to the Cartel Act*, Berne 2007.
- "Vergütungsansprüche für Dienstleistungen bei fehlender vertraglicher Grundlage. Ein Streifzug durch vier europäische Rechtsordnungen", Bern 2004 (doctoral thesis in comparative private law), Freiburg 2004.
- "Die Revision des US-amerikanischen Kaufrechts" (with Mathias Reimann), *IPrax* 2004, 146 ff.

Recent Speaking Engagements and Conferences

Recurring commitments

- Arbitration Clinic, taught to international master students as part of the University of Fribourg's LLM in International Contracts & Arbitration (since 2009)
- Annual arbitration training for Baker McKenzie trainee lawyers (2012-2019)
- Willem C. Vis International Commercial Arbitration Moot Court, Arbitrator

Presentations at conferences

- "Sort des données personnelles et des droit immatériels sur Internet et dans les applications", La pratique contractuelle: Symposium en droit des contrats, University of Fribourg, 26 November 2020.
- "Schiedsgerichtsbarkeit und Kartellrecht in den DACH-Staaten", Swiss Association for Compliance and Competition Law, Zurich, 22 October 2020.
- "Supply chain disruptions, force majeure defenses and mitigation duties", Life Sciences Senior Counsel Network event, Zurich, 14 May 2020.
- "Vergleichsverhandlungen durch das Schiedsgericht – Leitplanken, Fallgruben und Tipps", presentation and panel moderation, 4. Tagung zur Schiedsgerichtsbarkeit: Hot Topics, Zurich, 29 August 2019.
- "Judicial Scrutiny of Corruption-Tainted Arbitral Awards: a Comparative View", speaker and panelist at ASA Annual Conference 2019, 1 February 2019.
- "Devisentransaktionen in unruhigen Zeiten – Verlust- und Haftungsrisiken", St. Galler Bankrechtstagung, May 2015.
- "Gerichtsstands- und Rechtswahlklauseln in Bankverträgen mit Konsumenten", St. Galler Bankrechtstagung, June 2012. "The effect of insolvency on international arbitration proceedings", British Institute of International and Comparative Law, November 2011.
- Numerous presentations at client conferences and internal training workshops organized by Baker McKenzie (2004-2019)

On other legal and compliance topics

- "Digital Healthcare Projects: Understanding and Mitigating Legal and Compliance Risks", speaker at Life Sciences Senior Counsel Network event, Zurich, 23 May 2019.
- "Corporate and individual liability for compliance failures", presentation to the life sciences working group of Ethics and Compliance Switzerland (ECS), Zurich, 8 June 2018.
- "Compliance risks in the area of data privacy and cybersecurity", presenter at Baker & McKenzie client seminar in Zurich, 14 May 2018.
- "When compliance systems fail: practical insights into dealing with incidents of bribery and internal fraud", presentation, Life Sciences Senior Counsel Network, Zurich, 29 May 2018.
- "Cyber Security and Privacy Issues Confronting the Healthcare Sector", presenter at Baker & McKenzie Healthcare Industry Legal and Compliance Forum, Zurich, 15 November 2017.

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

Swiss Arbitration Association (ASA)
German Arbitration Association (DIS)
Schweizer Forum für Kommunikationsrecht (SF-FS)
Ethics and Compliance Switzerland
Swiss Association for Compliance and Competition Law
DICO e.V. - Deutsches Institut für Compliance e.V.

9. Languages

Mother tongue: German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): English, French

10. In which legal systems have you trained?

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Civil Law | <input checked="" type="checkbox"/> Common Law | |
| <input type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input checked="" type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| <input type="checkbox"/> German Law | <input type="checkbox"/> Slovakian Law | <input checked="" type="checkbox"/> European Law |
| <input type="checkbox"/> Other _____ | | |

11. What is your main jurisdiction of practice?

Swiss law

12. In which jurisdictions are you admitted to the bar?

Switzerland

13. Special expertise or specializations (please list a maximum of five):

- | | | | |
|--|---|---|---|
| <input type="checkbox"/> Antitrust/Unfair competition | <input type="checkbox"/> Damages | <input type="checkbox"/> Insolvency | <input type="checkbox"/> Power plants |
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input type="checkbox"/> Private Intern. Law |
| <input type="checkbox"/> Banking & Finance | <input type="checkbox"/> Domain name disputes | <input type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Public Intern. Law |
| <input type="checkbox"/> Capital markets | <input type="checkbox"/> Employment | <input type="checkbox"/> ISDS/ Foreign invest. | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> CISG | <input type="checkbox"/> Energy | <input type="checkbox"/> Joint ventures | <input type="checkbox"/> Shipping |
| <input checked="" type="checkbox"/> Civil fraud disputes | <input type="checkbox"/> Engineering | <input checked="" type="checkbox"/> Licensing | <input type="checkbox"/> Sports |
| <input type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment | <input checked="" type="checkbox"/> Life sciences | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Commodity market | <input checked="" type="checkbox"/> Healthcare & Pharmac. | <input type="checkbox"/> Maritime arbitration | <input type="checkbox"/> Technology |
| <input type="checkbox"/> Company/Corporate /M&A | <input type="checkbox"/> Hotel/Gastro./ Tour. | <input type="checkbox"/> Media | <input type="checkbox"/> Telecommuni- cation |
| <input type="checkbox"/> Construction | <input checked="" type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Mining | <input type="checkbox"/> Transport |
| <input type="checkbox"/> Contract law | <input type="checkbox"/> Infrastructure | <input type="checkbox"/> Natural resources | |
| <input type="checkbox"/> Other _____ | | | |

14. Date of birth: 16 July 1974

VIAC offers arbitration practitioners the possibility to present themselves on its website. VIAC reserves the right to publish any presentations submitted and to remove it as the case may be. Parties are free to nominate an arbitrator of their choice and so is the VIAC Board when appointing an arbitrator. These presentations do not constitute recommendations but may assist the parties in choosing an arbitrator

willing to conduct proceedings according to the Vienna Rules. The fact that an arbitration practitioner appears on this list, does not authorize this person to use the title "VIAC-arbitrator".

- I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

- I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

_____ Zurich, 28 September 2020 _____
Date and

Signature