VIAC – Questionnaire for Arbitrators

1. Name: Dr. Ralf Hafner, MSc

2. Citizenship: German

3. Contact information:

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4. Current position: Rechtsanwalt / Partner / Head of Dispute Resolution

5. Education: MSc (Construction Law & Dispute Resolution, King's College London

Dr. jur. (Albert-Ludwigs-University, Freiburg, Germany)

1st and 2nd State Examination in Law (Germany)

6. Practiced experience in arbitration

How many arbitrations have you participated in (domestic/international); under which Rules?
 Domestic: 23 International: 54

Ad-hoc (incl. UNCITRAL), CAM, DIS, ICC, LCIA, SCC, Swiss Rules

• How often have you acted as Chairman? 2 times

• How often have you acted as Sole Arbitrator? 2 times

• How often have you acted as Co-Arbitrator? 12 times

How often have you acted as Counsel?
 In more than 50 cases

• How often have you acted in a different function (e.g. Administrative Secretary)? 8 times

7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

Publications (Selection)

- Die Auslegung von Schiedsvereinbarungen und das darauf anwendbare Recht (transl. The Interpretation of Arbitration Agreements and the Law Applicable Thereto), in: Vogenauer, et. al. (ed.), Liber Amicorum for Dr. Volker Triebel, 2021, S. 79-97.
- The (Potential) Effect of Third-Party Funding on the Impartiality and Independence of Arbitrators and How to Deal with It, NYSBA, International Law Practicum, 2020, Vol. 33, No. 1, pp. 29 et seq. (together with Anna von Kenne).
- Online oder offline das ist jetzt die Frage Im Blickpunkt: Virtual Hearings in Schiedsverfahren (transl. Online or offline that is the question now In focus: Virtual hearings in arbitration proceedings), Deutscher Anwaltsspiegel, Ausgabe 16, 5. August 2020 (together with Katharina Hoffmann).

- For Greater Efficiency New DIS Arbitration Rules entered into force on 1 March 2018, BB International Newsletter (together with Katharina Hoffmann).
- "Schiedsfähigkeit III" Weiterentwicklung oder Irrweg? (transl. "Arbitrability III" Further Development or Aberration?), in: DisputeResolution, 1st ed., 2018, p. 12 ff. (together with Katharina Hoffmann).
- Präklusion und "Heilung" der Unzuständigkeit bei GmbH-Beschlussmängelstreitigkeiten im Schiedsverfahren (transl. Preclusion and "Cure" of Lack of Jurisdiction in Disputes over Defective Shareholders' Resolutions in Arbitration Proceedings) (together with Volker Triebel), in: SchiedsVZ 2009, 313-321.
- Schiedsfähigkeit von Beschlussmängelstreitigkeiten im Recht der GmbH am Maßstab der neuen BGH-Rechtsprechung und ihre Auswirkungen (transl. Arbitrability of Disputes regarding Defective Shareholders' Resolutions in the Law of the GmbH on the basis of the New BGH Case Law and Its Effects) (together with Jürgen Witte), in: DStR 2009, 2052-2058.
- Is there a need for the 'group of companies' doctrine?, London, 2008 (master thesis).
- 30 Years UNCITRAL Arbitration Rules: Experiences and Case Law, Default of a Party (Article 28) (together with Volker Triebel).
- Der Wirtschaftsprüfer als Schiedsgutachter bei M&A Transaktionen (together with Volker Triebel), in: Nicklisch (ed.), Der Experte im Recht, Heidelberg, 2006. pp. 125-144.

Lectures/Seminars (Selection)

- "Schiedsvereinbarungen in globalen Lieferketten" (transl. "Arbitration Agreements in Global Supply Chains"), Spring Conference of the DIS in Munich, 3 May 2023.
- "The Changing World of Dispute Resolution Current Issues", International Practice Group Meeting, 18 October 2019, Munich.
- "Streitbeilegung in grenzüberschreitenden M&A Transaktionen" (transl. "Dispute Resolution in Crossborder M&A Transactions"), lecture as part of the M&A Conference 2019 including panel discussion, 29 January 2019, Dusseldorf.
- "Wenn es schief geht: Prozesse und Schiedsverfahren erfolgreich führen"; Lecture as part of the client seminar "IT-Projekte erfolgreich führen", 5 June 2018, Frankfurt.
- Keynote Address: "Appointing Authority one of the Pillars of the UNCITRAL Arbitration Rules"; Arbitration Conference of the Arbitration Initiative Georgia on 9 February 2018 in Tbilisi, Georgia.

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

Member of the DIS; ICC; ICDR, KAS Alumni; KCCLA

Member of the Advisory Board of the DIS

9. Languages

Mother tongue: German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): **English**

10. In which legal systems have you trained?						
X Civil Law	×	Common Law				
☐ Austrian Law		Hungarian Law		Swiss Law		
☐ Czech Law		Polish Law		Ukrainian Law		
X German Law		Slovakian Law 🗖	×	European Law		
Other _International Law	_					
11. What is your main jurisdict	ion	of practice?		Germany		
12. In which jurisdictions are you admitted to the bar? Germany						
13. Special expertise or specializations (please list a maximum of five):						
☐ Antitrust/Unfair competition		Damages		Insolvency		Natural resources
☐ Aviation		Distribution		Insurance		Power plants
☐ Banking & Finance		Domain name disputes		Intellect. property (IP)		Private Intern. Law
☐ Capital markets		Employment		Investment arbitration		Public Intern. Law
☐ CISG	×	Energy		ISDS/Foreign inves.		Real estate
☐ Civil fraud disputes		Engineering		Joint ventures		Shipping
X Commercial disp. / transactions		Entertainment		Licensing		Sports
☐ Commodity market	×	Healthcare & Pharmac.		Life sciences		State/Public contracts
★ Company/Corporate/M&A		Hotel/Gastro./Tour.		Maritime arbitration		Technology
☐ Construction		Inform. technology (IT)		Media		Telecommunication
X Contract law		Infrastructure		Mining		Transport
Other						
14. Date of birth: 14 March 19	975	;				
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X I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.						
I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at http://www.viac.eu .						
14 July 2023						

Signature

Date